

# 3 Rule-based systems

- In what follows, we give a brief introduction to the mathematical definitions and applied algorithms of rule-based systems
- Rule-based systems comprise
  - a **database that contains the current knowledge** of the system. This may comprise current values or states of variables/parameters/sets
  - a **finite set of rules** that enables the system to derive additional knowledge out of the given one by applying some rule
  - an **interference engine or algorithm** that controls the interaction between the knowledge stored in the data base and the applicable rules
- The definition of the knowledge, the rules, and the applied interference engine is application-dependent and therefore requires a suitable formalization

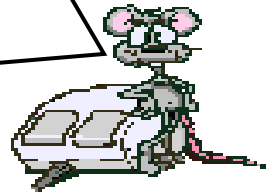
# Rule-based systems

My rule is:

I cannot get too much weight from fish as if I become too fat from fish I do not catch any more fish...

That seems to be a mantra.

Not really a rule to follow...but at least it defines a steady state



# Definition of rule-base systems

## 3.1 Definition

A **rule-based system**  $P$  consists of a tuple  $(D, R)$  with the **data base**  $D$  and a finite **set of rules**  $R$ . The elements are also denoted as (known) facts. The elements of  $D$  are tuples of parameters and values (denoted as terms). The set of parameters are denoted as  $\mathcal{P}(P)$  and the set of values are  $\mathcal{V}(P)$ . As parameters and values are connected in each tuple by some operator (functioning as a connector)  $=, <, \leq$ , or  $\neq$  to a **term**  $t \in D$ , of the form  $t \in \mathcal{P}(P) \times \{=, \neq, <, \leq\} \times \mathcal{V}(P)$ .

A **rule**  $r \in R$  possesses the form **IF**  $C$  **THEN**  $t$  with a **condition**  $C$  that is recursively defined through

1. Each term  $r \in D$  is a condition
2. For  $r, s \in D$  the notations  $(r \wedge s)$  and  $(r \vee s)$  are conditions and a term  $t \in D$  that defines the **conclusion** (of the rule).

# Conjunction and disjunction

- The symbol “ $\wedge$ ” represents a **conjunction** of conditions. Hence, the fulfillment of the resulting (possibly partial) condition requires that both partial conditions are fulfilled by the current database entries
- The symbol “ $\vee$ ” represents a **disjunction** of conditions. Hence, the fulfillment of the resulting (possibly partial) condition requires that (at least) one partial condition is fulfilled by the current database entries

# Observation

- Conclusions do not comprise conjunctions of terms
  - However, this can be replaced by additional rules
  - Specifically, instead of defining **IF**  $C$  **THEN**  $t_1 \wedge t_2 \wedge \dots \wedge t_k$ , we insert the  $k$  rules **IF**  $C$  **THEN**  $t_1$ , **IF**  $C$  **THEN**  $t_2, \dots$ , **IF**  $C$  **THEN**  $t_k$  into  $R$
- Note that the well-known **NOT operation** is not valid in Definition 3.1
- In what follows, we define the formal satisfaction of a condition

# Satisfaction of conditions in rules

## 3.2 Definition

Given a rule-based system  $P = (D, R)$  defined according to Definition 3.1.

Then, a condition  $C$  in a rule **IF**  $C$  **THEN**  $t$  in rule set  $R$  is satisfied by the current data base  $D$  if one of the following cases applies

1. If  $C$  is a single term  $s$  and  $s \in D$  holds
2. If  $C = C_1 \wedge C_2$  and  $C_1$  as well as  $C_2$  are satisfied by the current data base  $D$
3. If  $C = C_1 \vee C_2$  and  $C_1$  or  $C_2$  is satisfied by the current data base  $D$
4. If  $C = \neg C_1$  and  $C_1$  is not satisfied by the current data base  $D$

No further case exists.

A rule  $r = \text{IF } C \text{ THEN } t$  in set  $R$  with a condition  $C$  that is satisfied according to Definition 3.2 is denoted as **applicable to data base**  $D$ .

If the fourth case is not covered, we say that  $P = (D, R)$  is **without negation**.

# Inference of terms

## 3.3 Definition

Given two rule-based systems  $P = (D, R)$  and  $P' = (D', R')$  as defined in Definition 3.1.1. It holds that  $(D, R) \vdash_{rs} (D', R')$  if and only if

1. There exists a rule  $r \in R$  with  $r = \mathbf{IF } C \mathbf{ THEN } t$  possessing a satisfied condition  $C$  and
2. The data base is extended accordingly, i.e.,  $D' = D \cup \{t\}$

We say that rule  $r \in R$  is applicable and term  $t$  can be inferred (derived) in  $P = (D, R)$  with  $D$

Shortcut:  $(D, R) \vdash_{rs} \{t\}$

# Commutative rule-based system

## 3.4 Definition

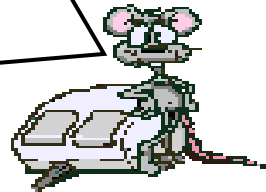
A rule-based system  $P = (D, R)$  is denoted as **commutative** if and only if for each data base  $E$  that can be inferred (derived) in  $P$  with  $D$  it holds that each rule that can be applied in  $P$  for  $E$  can be also applied in  $F$  that can be derived from  $E$ , i.e.,  
 $(D, R) \vdash_{rs} (E, R) \vdash_{rs} (F, R)$  and  $\forall r \in R: r \text{ is applicable in } E \Rightarrow r \text{ is applicable in } F$ .



# Consequences

Awkward definition!  
I admit, that I do not really understand how  
to apply or use it...

Then, please consider  
the subsequent  
interpretation provided  
by the following Lemma  
3.5!



# Consequence

## 3.5 Lemma

A rule based system  $P = (D, R)$  is commutative if and only if the following attribute (a) is fulfilled for a data base  $E$  that can be inferred in  $P$  with  $D$ :

(a) Let  $R_E \subseteq R$  be a subset of rules given in  $P$  that are applicable with data base  $E$ . Then, the data set that is inferable by applying these rules is invariant against the sequence in that the rules are applied.

# Proof of Lemma 3.5

- Given a commutative rule-base system  $P = (D, R)$  and a data base  $E$  that can be inferred in  $P$  by using  $D$ , i.e., we have  $(D, R) \vdash_{rS} (E, R)$
- Moreover, we assume that  $R_E = \{r_1, \dots, r_n\} \subseteq R$  is the set of applicable rules of set  $R$  with data base  $E$
- Consequently, we define the set of terms  $T_E = \{t_1, \dots, t_m\}$  that we obtain by applying rules of set  $R_E$
- Due to the assumed commutativity of  $P = (D, R)$  and since data base  $E$  can be inferred in  $P$  by using  $D$ , we know that all rules  $r \in R_E$  can be also applied in  $P$  by using  $F$  (instead of  $E$ ) with  $(D, R) \vdash_{rS} (E, R) \vdash_{rS} (F, R)$
- This means that we can apply the respective rules  $r \in R_E$  in an arbitrary sequence and the set of inferable terms amounts to  $E \cup T_E = E \cup \{t_1, \dots, t_m\}$
- The latter results from the fact that the application of each rule inserts a term of  $T_E$

# Proof of Lemma 3.5

- Conversely, we assume that  $P$  is not commutative, but attribute  $(a)$  is fulfilled for a data base  $E$  that can be inferred in  $P$  by using  $D$ , i.e.,  $(D, R) \vdash_{rs} (E, R)$
- If two rules in  $R$  have identical conclusions we combine them into one rule by a disjunction in the condition. Hence all conclusions are disjoint
- Furthermore, we assume that  $R_E = \{r_1, \dots, r_n\} \subseteq R$  is the set of applicable rules of set  $R$  with data base  $E$
- Consequently, we define the set of terms  $T_E = \{t_1, \dots, t_m\}$  that we obtain by applying rules of set  $R_E$
- As  $P$  is not commutative, we assume that  $E$  was chosen such that there exists a rule  $r_j \in R_E = \{r_1, \dots, r_n\}$  with  $F$  as the set of terms that is obtained by applying all applicable rules of set  $R_E - \{r_j\}$  while  $r_j$  is not applicable in set  $F$ , but applicable in set  $E$ . By applying all rules of set  $R_E - \{r_j\}$ , we obtain the set  $T_{E,j}$
- Since no other rule implies  $t_j$ , we obtain a different data base  $G$  if we start with  $(E, R)$  and apply  $r_j$  first as  $t_j \in G$  but  $t_j \notin T_{E,j}$ . This contradicts attribute  $(a)$

# Remark

- The derived definition of commutativity is analogously characterized by Nilsson (1982). He gives the following three attributes
  - Each rule that is applicable for a given database D stays applicable for each database that is derivable from D
  - Each condition that is fulfilled by D is also fulfilled by each database that is derivable from D
  - Each database that can be derived from D is invariant against the sequence of the applied rules

## 3.6 Theorem

Rule-based systems without negation are commutative.

# Proof of Theorem 3.6

- We consider a rule based system  $P = (D, R)$  without negation
- Let  $E$  be a data base with  $(D, R) \vdash_{rs} (E, R)$
- $R_E = \{r_1, \dots, r_n\} \subseteq R$  is the set of applicable rules of set  $R$  with data base  $E$ , while it holds that  $r_i = \mathbf{IF } C_i \mathbf{ THEN } t_i, \forall i \in \{1, \dots, n\}$
- Hence,  $C_i$  is true (i.e., fulfilled) in  $E$
- Since there are only connectors of the form  $\wedge$  or  $\vee$ , the satisfaction of a condition only depends on the fact whether a specific term  $t$  is in the data base  $E$
- As each rule application only adds additional terms to the data base, such a satisfaction does not change
- Hence,  $P$  is commutative

# Conclusion

- Due to Theorem 3.6, for rule-based systems without negation, we know that the sequence of applied rules has no impact on the resulting set of terms in the derived data base
- Therefore, an applied inference algorithm do not need a specific selection rule for choosing the next applicable rule to be executed



# Derivable knowledge

## 3.7 Definition

Given a commutative rule-based system  $P = (D, R)$ .  
Then, the set  $D^*(P)$  is denoted as the maximum set of derivable terms in  $P$  if and only if it holds that

1.  $(D, R) \vdash_{rS} (D^*(P), R)$  and
2.  $\forall E$  with  $(D, R) \vdash_{rS} (E, R)$  it holds that  $E \subseteq D^*(P)$

# Types of reasoning strategies

In order to check whether a specific data can be derived from a given rule-based system, two reasoning strategies are proposed:

## Forward chaining

- Starts with the available data currently stored in the data base
- It iteratively executes the rules that are applicable in order to derive additional knowledge
- It terminates when a predefined goal (sought term) is reached
- If no predefined goal is given the algorithm stops when no further knowledge can be obtained from applying rules

# Types of reasoning strategies

## Backward chaining

- This strategy works in opposite direction to the forward chaining
- Namely, it starts with the goal term that is sought
- This term is inserted into the set of goal terms  $G$
- As long as the set of goal terms  $G$  is not empty do
  - Take some term  $t$  out of  $G$
  - Consider the condition  $C$  of each rule of the form IF  $C$  THEN  $t$
  - Depending on the condition  $C$  insert new terms into  $G$  (this may include recursive function calls or the iterative constitution of different sets  $G$  and will be specified later on)

## 3.8 Algorithm – Forward Chaining in Pseudo Code

Input: Database  $D_0$ , set of rules  $R$  (no goal)

begin

- $D^* := D_0$
- repeat
  - $D := D^*$  /\* keeping the former state \*/
  - $R^* := \{ IF\ C\ THEN\ t \in R \mid C\ is\ true\ for\ D \}$
  - $D^* := D^* \cup \{ t \mid IF\ C\ THEN\ t \in R^* \}$
- until  $D = D^*$  /\* if a goal is given, we can check it here \*/
- Output:  $D^*$

end

## (Very simple) example

**RULE 1: IF** AUDIO=croaks  $\wedge$  NUTRITION=insects - **THEN**  
ANIMAL=frog

**RULE 2: If** AUDIO=öök  $\wedge$  NUTRITION=insects - **THEN**  
ANIMAL=toad

**RULE 3: If** ANIMAL=frog - **THEN** COLOR=green

**RULE 4: If** ANIMAL=toad - **THEN** COLOR=brown

# Applying forward chaining

- Starting set  $D_0 = \{AUDIO = croaks, NUTRTION = insects\}$
- Hence,  $D^* = \{AUDIO = croaks, NUTRTION = insects\}$
- Thus, we obtain
$$R^* = \{\text{IF } AUDIO=croaks \wedge NUTRITION=insects - \text{THEN } ANIMAL=frog\}$$
- And therefore
$$D^* = \{AUDIO = croaks, NUTRTION = insects, ANIMAL = frog\}$$
- $$R^* = \left\{ \begin{array}{l} \text{IF } AUDIO=croaks \wedge NUTRITION=insects - \text{THEN } ANIMAL=frog, \\ \text{IF } ANIMAL=frog - \text{THEN } COLOR=green \end{array} \right\}$$
- Finally, we obtain 
$$D^* = \left\{ \begin{array}{l} AUDIO = croaks, NUTRTION = insects, \\ ANIMAL = frog, COLOR = green \end{array} \right\}$$

# Forward chaining

## 3.9 Theorem

By being applied to a commutative rule-based system  $P = (D, R)$ , the algorithm forward chaining is correct and works in quadratic time of the size of the given rule-based system  $P = (D, R)$

# Proof of Theorem 3.9

## Termination

- Clearly, the forward chaining algorithm (Algorithm 3.8) always terminates
- This results from the fact that  $R$  is assumed to be finite and therefore the derivable knowledge (terms located after a THEN statement) is finite
- Hence, the number of extensions of  $D^*$  is limited by the number of rules in  $R$
- Specifically, it holds that
$$D \subseteq D^*(P) \subseteq D \cup \{t \mid IF\ C\ THEN\ t \in R\}$$
- Since at least one term is added during each iteration of the algorithm, we have at most  $|R|$  iterations



# Proof of Theorem 3.9

## Correctness

- We first show that if the Algorithm 3.8 is called without a goal term it terminates with the output  $D^* = D^*(P)$
- We first show that  $D^*(P) \subseteq D^*$
- For this purpose, we assume that  $\exists s \in D^*(P) - D^*$ . Due to  $D^*(P) \subseteq D \cup \{t \mid \text{IF } C \text{ THEN } t \in R\}$  and the finiteness of  $D$ ,  $s$  can be derived within a finite number of rule applications
- Furthermore,  $s$  is defined such that its shortest derivation in  $(D, R) \vdash_{r_s} (D^*(P), R)$  requires a minimum number of rule applications. This minimum number is denoted as  $i$ . With other words, no other term in  $D^*(P) - D^*$  can be derived with a smaller number of applied rules
- In what follows, the existence of  $s$  is disproven by induction over the number of iterations  $i$
- With other words, we prove that after  $i$  iterations  $D^*$  contains all terms of set  $D^*(P)$  that are derivable by the application of at most  $i$  rules

# Proof of Theorem 3.9

- Start of induction with  $i = 0$ . In this case, we have  $D^*(P) = D$  as no application of a rule is allowed
- Hence, as the Algorithm 3.8 sets  $D^* = D$ , we have  $D^*(P) = D = D^*$  and  $s$  does not exist with  $i = 0$
- Therefore, it remains to consider the case  $i > 0$
- Then, by induction and the definition of term  $s$ , after conducting  $i - 1$  iterations of Algorithm 3.8, the set  $D^*$  contains all terms of set  $D^*(P)$  derivable by at most  $i - 1$  rule applications
- Consequently, as  $D^*(P) \subseteq D \cup \{t \mid \text{IF } C \text{ THEN } t \in R\}$  holds and since  $s$  is not derivable within  $i - 1$  rule applications, we conclude due to the commutativity of  $P = (D, R)$  there must be a rule *IF C THEN s* in set  $R$  such that the terms of  $D^*(P)$  that are derivable within at most  $i - 1$  rule applications fulfill  $C$

# Proof of Theorem 3.9

- However, as, by induction, this set (the terms of  $D^*(P)$  that are derivable within at most  $i - 1$  rule applications) is subset of  $D^*$
- Therefore, *IF C THEN s* is applicable during the  $i$ -th iteration of the Algorithm 3.8 and  $s$  is also inserted into  $D^*$
- Hence,  $s$  does not exist
- As  $D^*(P) \subseteq D \cup \{t \mid \text{IF } C \text{ THEN } t \in R\}$  holds and  $D$  is finite, each term  $s \in D^*(P) - D^*$  is derivable in a finite number of rule applications
- Thus,  $D^*(P) - D^* = \emptyset$  holds as  $s \in D^*(P) - D^*$  exists, otherwise and that was excluded before
- This proves  $D^*(P) \subseteq D^*$

# Proof of Theorem 3.9

- We show that  $D^* \subseteq D^*(P)$ 
  - This results directly from the fact that the Algorithm 3.8 only adds terms by applying rules of set  $R$
  - Consequently, it holds that  $(D, R) \vdash_{r_S} (D^*, R)$
  - This implies  $D^* \subseteq D^*(P)$
- Therefore, we obtain  $D^* = D^*(P)$
- This completes the proof of the correctness

# Proof of Theorem 3.9

Worst case running time

- Due to  $D^* = D^*(P) \subseteq D \cup \{t \mid \text{IF } C \text{ THEN } t \in R\}$ , there are at most  $|R|$  iterations
- In each iteration at most each term in  $D^*$  and each rule has to be enumerated. By using a sophisticated data structure this is possible in time  $\mathcal{O}(|R|)$
- Thus, all in all, we obtain an asymptotic running time of  $\mathcal{O}(|R|^2)$

# Observation

- The average running time of the Algorithm 3.8 can be improved by erasing each applied rule from set  $R$

## 3.10 Algorithm – Forward Chaining with goal term

Input: Database  $D_0$ , set of rules  $R$ , goal term is  $t^*$

begin

- $D^* := D_0$
- repeat
  - $D := D^*$  /\* keeping the former state \*/
  - $R^* := \{ IF\ C\ THEN\ t \in R \mid C\ is\ true\ for\ D \}$
  - $D^* := D^* \cup \{ t \mid IF\ C\ THEN\ t \in R^* \}$
- until  $D = D^*$  or  $t^* \in D^*$
- Output: If  $t^* \in D^*$  then write (" $t^*$  is derivable") else write (" $t^*$  is NOT derivable")

end

# Excluding disjunctions

- By analyzing a rule-based systems, we can state that disjunctions can be excluded without restricting the knowledge and rules in a rule-based systems
- This results from the fact that we can replace a rule
  - IF  $t_1 \vee t_2$  THEN  $t_3$by the two following rules
  - IF  $t_1$  THEN  $t_3$
  - IF  $t_2$  THEN  $t_3$that are equivalent, i.e., the set of derivable terms is unchanged



# Examples

- IF  $(A = 1 \wedge B = 1) \vee C = 0$  THEN  $X = 1$

Is equivalent to the two rules

- IF  $(A = 1 \wedge B = 1)$  THEN  $X = 1$
- IF  $C = 0$  THEN  $X = 1$

- IF  $(A = 1 \vee B = 1) \wedge C = 0$  THEN  $X = 1$

Is equivalent to

- IF  $((A = 1 \wedge C = 0) \vee (B = 1 \wedge C = 0))$  THEN  $X = 1$

And equivalent to the two rules

- IF  $(A = 1 \wedge C = 0)$  THEN  $X = 1$
- IF  $(B = 1 \wedge C = 0)$  THEN  $X = 1$

# Comment

- As each formula in propositional logic can be transformed in a equivalent formula in so-called Disjunctive Normal Form (DNF), i.e., into the form  $F = \bigvee_{i=1}^n \left( \bigwedge_{j=1}^{m_i} L_{i,j} \right)$ , with  $L_{i,j} \in \{A_1, A_2, \dots\} \cup \{\neg A_1, \neg A_2, \dots\}$ , we can always exclude all disjunctions in a set of rules
- Thus, for the backward chaining algorithm, we solely consider rule-based systems without disjunctions

# 3.11 Backward Chaining with goal term and DFS

```
function depth(t: list of terms): boolean;  
begin  
if t = NIL then return(true) /* Nothing to check anymore, goal is attainable */  
else /* There are still terms (i.e., conditions) to check */  
    set t* to the first term in list t /* first term to be checked */  
    define cl as a list of conditions of rules (i.e., list of list of terms) with conclusion "THEN t*"  
    if t* ∈ D  
    then cl := append(NIL – list, cl) /* NIL-list is an empty (i.e., true) condition */  
    stop = false  
    while (cl ≠ NIL) and (not stop) do  
        set cl* to the first condition in cl  
        newgoal := append(cl*, rest(t)) /* This has to be checked next (DFS) */  
        if depth(newgoal) then stop:=true else cl := rest(cl) end if  
    end while /* cl is a list of conditions. One of them has to be fulfilled to fulfill t* */  
    if stop then return(true) else return(false) end if  
end if  
end if
```

# Call of the procedure – main program

**Input:** Database  $D_0$ , set of rules  $R$  (no disjunction), goal term is  $t^*$

**begin**

**if**  $depth([t^*])$  **then** write( $t^*$  is derivable")

**else** write( $t^*$  is NOT derivable")

**end if**

**end**

# Corresponding graph

## 3.12 Definition

Given a rule-based system  $P = (D, R)$ . For the set of rules, we define the following corresponding graph  $\mathcal{G}(R) = (\mathcal{V}(R), E(R))$  as follows:

1. For each term  $t$  occurring in a condition or conclusion of a rule  $r \in R$  there exists a corresponding node  $v_t \in \mathcal{V}(R)$
2. For each rule  $r \in R$  there exists a corresponding node  $v_r \in \mathcal{V}(R)$
3. For each rule  $r = IF\ c_1 \wedge \dots \wedge c_n\ THEN\ t \in R$  there exist  $n$  corresponding edges  $(v_{c_i}, v_t) \in E(R), \forall i \in \{1, \dots, n\}$  and an additional edge  $(v_r, v_t) \in E(R)$
4. Aside from the results by applying the preceding steps 1, 2, and 3, there are no further nodes and arcs in  $E(R)$

# Acyclic rule-based systems

## 3.13 Definition

A given rule-based system  $P = (D, R)$  is denoted as acyclic if and only if the corresponding graph  $\mathcal{G}(R) = (\mathcal{V}(R), E(R))$  is acyclic.

## 3.14 Comment

Given a directed graph  $\mathcal{G} = (V, E)$ . The test of whether graph  $\mathcal{G}$  is acyclic can be done in linear time of the size of the set of arcs.

# Correctness of the algorithm

## 3.15 Theorem

Algorithm 3.11 is correct for acyclic rule-based systems

# Proof of Theorem 3.15

- We assume that a given term  $t$  can be derived by a rule based system  $P = (D, R)$
- Then there exists a shortest existing derivation  $(D, R) \vdash_{rs} (D^*, R)$  with  $t \in D^*$  and we prove by induction of the number of applied rules  $l$  in the above shortest derivation that  $depth([t]) = \text{true}$ , i.e., Algorithm returns the correct result
- Start of induction  $l = 0$ 
  - In this case no rule is necessary for the derivation of  $t \in D^*$
  - Hence, it holds that  $t \in D$
  - In this case  $first(t) \in D$  holds and the first entry of  $cl$  is the empty list
  - Therefore, *newgoal* becomes to NIL and  $depth(NIL)$  is called
  - Then,  $depth(newgoal)$  is true and *stop* is set to *true*
  - Consequently, the Algorithm 3.11 returns the correct result “ $t^*$  is derivable”



# Proof of Theorem 3.15

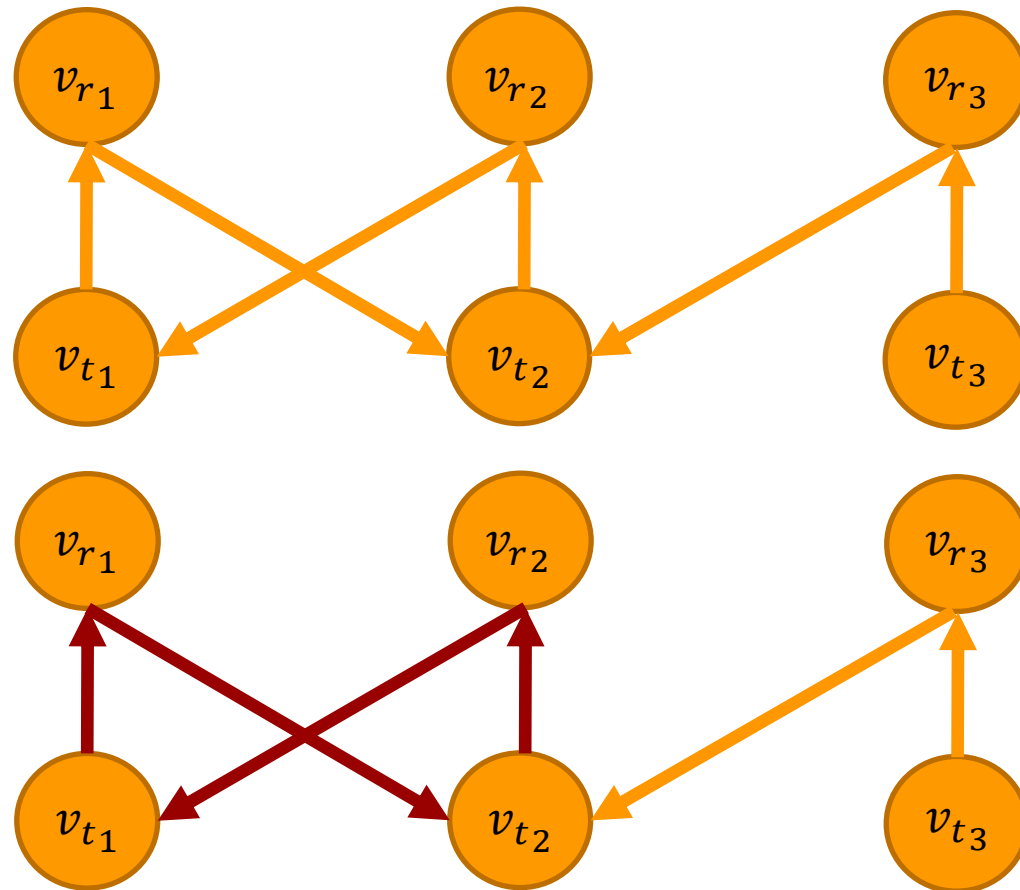
- We consider the case  $l > 0$ 
  - Hence, as the considered derivation is a shortest one, there exists a rule IF  $c_1 \wedge \dots \wedge c_n$  THEN  $t$  in set  $R$  that was used by the considered derivation  $(D, R) \vdash_{rs} (D^*, R)$  with  $t \in D^*$
  - Hence, by induction we have  $\forall i \in \{1, \dots, n\}: \text{depth}([c_i]) = \text{true}$
  - As  $r \in R$  holds,  $cl$  is extended by appending the list  $[c_1, \dots, c_n]$
  - As  $P = (D, R)$  is assumed to be acyclic, in the considered case, the Algorithm will either terminate before reaching this part of the list  $cl$  (other proving is possible) or after checking  $\text{depth}([c_1, \dots, c_n])$ . The latter results from the assumption of the induction

# Proof of Theorem 3.15

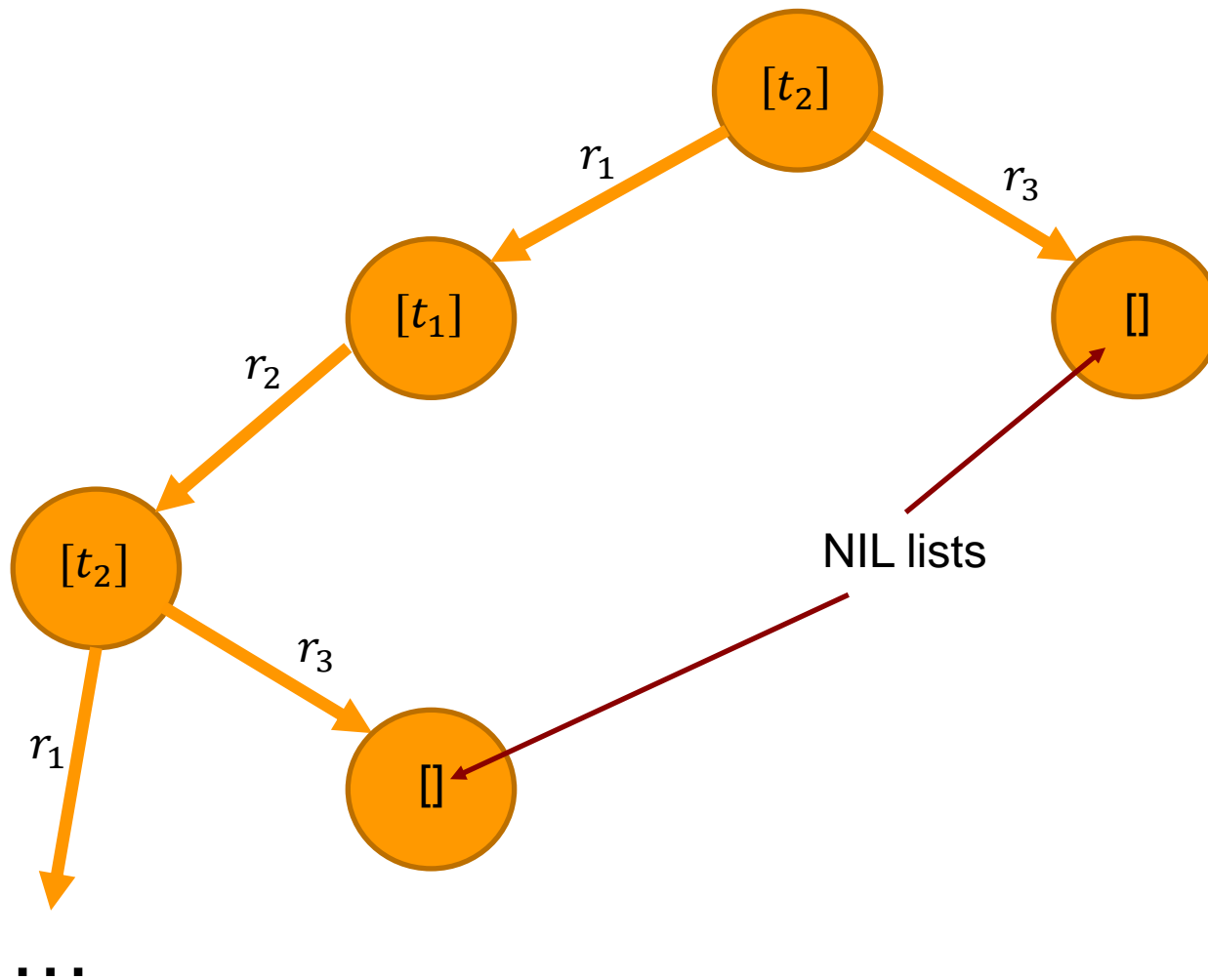
- We assume that a given term  $t$  cannot be derived by a rule-based system  $P = (D, R)$
- Then, there is no  $(D, R) \vdash_{rs} (D^*, R)$  with  $t \in D^*$
- This, in turn, means that there is no possibility to trace back the term  $t$  to the initial entries of set  $D$
- Therefore,  $depth(NIL)$  cannot be reached throughout the computation
- Since  $P = (D, R)$  is assumed to be acyclic, each rule is chosen once and the Algorithm 3.11 will terminate after finite time as  $depth$  is not called in a recursion more than once for a list starting with the same term. Hence, the number of calls is bounded and the algorithm never reaches an empty list
- Thus Algorithm 3.11 returns the correct result “ $t^*$  is NOT derivable”

## 3.16 Example with cycle in R

- We consider the following rule-based system  $P = (D, R)$  with
- $D = \{t_3\}, R = \{R_1: IF\ t_1\ THEN\ t_2, R_2: IF\ t_2\ THEN\ t_1, R_3: IF\ t_3\ THEN\ t_2\}$
- There is a cycle as the corresponding graph reveals



# Applying the Algorithm 3.11 with $depth([t_2])$



## 3.17 Lemma

The worst case running time of the Algorithm 3.11 is not polynomial even for acyclic rule-based systems

$$P = (D, R)$$

# Proof of Lemma 3.17

- We consider the following rule-based system
  - $P_n = (D, R_n)$  with
  - $D = \{t_0\}$  and
  - $R_n = \left\{ \begin{array}{l} IF\ c_{i,1} \wedge c_{i,2}\ THEN\ t_i, \\ IF\ t_{i-1}\ THEN\ c_{i,1} \\ IF\ t_{i-1}\ THEN\ c_{i,2} \end{array} \mid 1 \leq i \leq n \right\}$
- The rule-based system  $P_n = (D, R_n)$  comprises  $3n$  rules and terms
- We count the number of calls  $\mathcal{A}(n)$  of the function *depth* with the goal term  $t_n$

## Proof of Lemma 3.17 – $n = 0, n = 1$

- $\mathcal{A}(0) = 2$  as  $t_0 \in D$  and after calling  $depth([t_0])$ , we have a second and final call  $depth([])$  that is successful
- $\mathcal{A}(1) = 5$  as shown below
  - $depth([t_1])$
  - $depth([c_{1,1}, c_{1,2}])$
  - $depth([t_0, c_{1,2}])$
  - $depth([c_{1,2}])$
  - $depth([t_0])$

# Proof of Lemma 3.17 – $n > 1$

- We have always the situation that
  - $depth([t_n])$
  - $depth([c_{n,1}, c_{n,2}])$
  - $depth([t_{n-1}, c_{n,2}])$
  - ...
  - $depth([c_{n,2}])$
  - ...
  - $depth([t_0])$



# Proof of Lemma 3.17 – Conclusion

- For  $n > 1$ , it holds that  $\mathcal{A}(n) = 3 + 2 \cdot \mathcal{A}(n - 1)$
- Therefore, we conclude that
  - $\mathcal{A}(n) > 2^n$  since it holds that
  - $\mathcal{A}(0) = 2 > 2^0 = 1$ ,
  - $\mathcal{A}(1) = 5 > 2^1 = 2$ , and
  - $\mathcal{A}(n) = 3 + 2 \cdot \mathcal{A}(n - 1) > 3 + 2 \cdot 2^{n-1} = 3 + 2^n > 2^n$
- This completes the proof

# Remark

- The exponential running time and the problems with cyclical rule sets can be avoided by using breath first search
- However, this may lead to exhaustive memory consumptions